

021704  
17157 - U.S. PTO

PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

04010

First Inventor

David Szymanski  
wood cutting saw chain  
and Replaceable cutting members

Title

Express Mail Label No.

ET 508647837 US

P.O. Box 1450  
Alexandria VA 22313-1450

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification. [Total Pages 21]
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Sheets 2]
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - Computer Reader Form (CRF)
  - Specification Sequence Listing on:
    - CD-ROM or CD-R (2 copies); or
    - Paper
  - Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1499  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- Certified Copy of Priority Document(s) (if foreign priority is claimed)
- Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: .....

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer Number or Bar Code Label Here)			<input type="checkbox"/> OR <input checked="" type="checkbox"/> Correspondence address below
Name	Thomas R. Shaffer, Esq.			
Address	5 East Third Street PO Box 509			
City	Coudersport	State	PA	Zip Code
Country	United States	Telephone	814-274-7292	Fax
Name (Print/Type)	Thomas R. Shaffer	Registration No. (Attorney/Agent)	30,325	
Signature	Thomas R. Shaffer	Date	02-17-2004	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL

## for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 525.00)

## Complete if Known

Application Number	
Filing Date	February 17, 2004
First Named Inventor	David Szymanski
Examiner Name	
Art Unit	
Attorney Docket No.	04010

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number:

Deposit Account Name:

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
1001	2001	750	375			Utility filing fee	385
1002	2002	330	165			Design filing fee	
1003	2003	520	260			Plant filing fee	
1004	2004	750	375			Reissue filing fee	
1005	2005	160	80			Provisional filing fee	
SUBTOTAL (1)						(\$ 385.00)	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from table	Fee Paid
26			-20** =	6 x 9.00	54.00
5			-3** =	2 x 43.00	86.00
SUBTOTAL (2) (\$ 140.00)					

Large Entity	Small Entity	Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
1202	2202	18	9			Claims in excess of 20	
1201	2201	84	42			Independent claims in excess of 3	
1203	2203	280	140			Multiple dependent claim, if not paid	
1204	2204	84	42			** Reissue independent claims over original patent	
1205	2205	18	9			** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$ 140.00)							

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
1051	2051	130	65			Surcharge - late filing fee or oath	
1052	2052	50	25			Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130			Non-English specification	
1812	1812	2,520	2,520			For filing a request for ex parte reexamination	
1804	1804	920*	920*			Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840*			Requesting publication of SIR after Examiner action	
1251	2251	110	55			Extension for reply within first month	
1252	2252	410	205			Extension for reply within second month	
1253	2253	930	465			Extension for reply within third month	
1254	2254	1,450	725			Extension for reply within fourth month	
1255	2255	1,970	985			Extension for reply within fifth month	
1401	2401	320	160			Notice of Appeal	
1402	2402	320	160			Filing a brief in support of an appeal	
1403	2403	280	140			Request for oral hearing	
1451	1451	1,510	1,510			Petition to institute a public use proceeding	
1452	2452	110	55			Petition to revive - unavoidable	
1453	2453	1,300	650			Petition to revive - unintentional	
1501	2501	1,300	650			Utility issue fee (or reissue)	
1502	2502	470	235			Design issue fee	
1503	2503	630	315			Plant issue fee	
1460	1460	130	130			Petitions to the Commissioner	
1807	1807	50	50			Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180			Submission of Information Disclosure Stmt	
8021	8021	40	40			Recording each patent assignment per property (times number of properties)	
1809	2809	750	375			Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	750	375			For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	750	375			Request for Continued Examination (RCE)	
1802	1802	900	900			Request for expedited examination of a design application	
Other fee (specify) _____							
*Reduced by Basic Filing Fee Paid							
SUBTOTAL (3) (\$ 0.00)							

(Complete if applicable)

Name (Print/Type)	Thomas R. Shaffer	Registration No. (Attorney/Agent)	30,325	Telephone	814-274-7292
Signature	<i>Thomas R. Shaffer</i>			Date	02-17-2004

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